

Muskat Falls Corporation
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LTR-CH0007001-0256

30-Aug-2014

ASTALDI Canada Inc.
358 Hamilton River Road
Happy Valley-Goose Bay, NL
AOP 1CO Canada

Attention: Jose Alves, Project Manager
Roger Hopkins, Contract Administrator

Subject: **Agreement No.:** CH0007- 001
Title: Construction of Intake and Powerhouse, Spillway and Transition Dams
RE: Contractor's Safety Operation – Safety Audit

Dear Sirs,

This letter addresses the results of the safety audit that was conducted jointly on 28-Aug-2014, with Astaldi, Labrador Ready Mix and Company ("LRM") and Company staff relative to your subcontractor Labrador Ready Mix. The results of the audit are attached for your information and reference. The audit findings and the indicated scores demonstrate that LRM is failing with respect to all eighteen areas addressed in the audit. Overall, LRM's safety record on the project has been unacceptable. Astaldi cannot allow this situation to continue.

Astaldi's action to shut down LRM's batch plant and crushing operations were totally justified. It is clear that LRM has not been meeting the objectives established in Astaldi's Health and Safety Management Plan, Astaldi's Subcontractor Policy SPO-HS-026, the Project Health and Safety Management Plan and the requirements of the Agreement, Exhibit 5.

The Company takes safety very, very seriously, and Astaldi must take action to address the safety issues regarding LRMs operations, most of which are violations of Newfoundland Occupational Health & Safety Act and Regulations. The audit findings have been divided into two distinct categories. Priority 1 items need to be addressed prior to Astaldi allowing the resumption of LRM operations. Priority 2 items are those which do not prevent the resumption of operations but which Astaldi has agreed to bring into compliance by 22-Sep-2014. The table set forth below lists the Priority 1 items.

a Nalcor Energy company

PRIORITY 1 ITEMS

AUDIT CATEGORIES	ITEMS
Safe Work Practices / Safe Work Procedures	<ul style="list-style-type: none"> • Development of Safe work practices & procedures for all identified critical operational tasks • PPE
Training and Communications	<ul style="list-style-type: none"> • Competency Assessment • Leadership Training • Orientation • Respiratory Protection • WHMIS • Lock-Out –Tag-Out • Confined Space Entry
Hazard Assessment and Control	<ul style="list-style-type: none"> • FLRA • JSA • Unsafe behaviors and conditions
Inspections	<ul style="list-style-type: none"> • Workplace • Tools & Equipment
Preventative Maintenance	<ul style="list-style-type: none"> • Scheduling Program for all identified operational areas

*** Priority 2 items are as per the attached.

*** Refer to the attached Audit Report for more detailed descriptions.

Accordingly, Astaldi is to provide the Company with an action plan to rectify all deficient items. Rectification of the Priority 1 items will enable Astaldi to resume LRM's suspended operations. The Company is prepared to support Astaldi, as needed, to expedite the correction of these deficiencies. Jointly we can monitor the implementation of the corrective practices and procedures and then follow up to ensure compliance. We expect Astaldi and its subcontractor, LRM, to accept full responsibility for any commercial or schedule impacts as a result of this shutdown.

Given the significance of the present audit findings the Company believes that it is prudent that we jointly perform additional safety audits of your other subcontractors to assess their compliance with Astaldi's Health and Safety Management Plan, and Astaldi's Subcontractor Policy SPO-HS-026. Within the next 24 hours, Mr. Kevin Miller will contact Astaldi to develop a schedule to conduct the audits of these subcontractors. Following the result of those audits we will meet to determine what further actions may be required to improve safety on all Astaldi's work fronts.

In the meantime we are requesting Astaldi to conduct a formal investigation with root cause analysis to identify any systemic failures with respect to the implementation of Astaldi's Subcontractor Policy SPO-HS-026. Please provide a copy of the report detailing the results of your investigation with corrective action. Please use the Project Investigation Report template. We request the aforementioned report be submitted on or before 07-September-2014.

If you have any questions please do not hesitate to contact the undersigned.

Regards,

Muskrat Falls Corporation



for Desmond Tranquilla
Deputy Company Representative and Site Manager

CC: S. O'Brien, R. Woolgar, D. Healey, B. Knox, A. Kelly, H. Geres, K. Miller, L. Clarke, D. Riffe

Attachments:

- Priority 2 Items
- Evaluation of Contractor OH&S Program: Labrador Ready Mix

Lower Churchill Project

LRM – Priority HS Activities

29-Aug-2014

Boundless Energy



Take a
MOMENT
for Safety

Priorities

Priority 1

Completed before Start-Up

Audit Item	Includes
Safe Work Practices / Safe Work Procedures	<ul style="list-style-type: none"> • Development of Safe work practices & procedures for all identified critical operational tasks • PPE
Training and Communications	<ul style="list-style-type: none"> • Competency Assessment • Leadership Training • Orientation • Respiratory Protection • WHMIS • Lock-Out –Tag-Out • Confined Space Entry
Hazard Assessment and Control	<ul style="list-style-type: none"> • FLRA • JSA • Unsafe behaviors and conditions
Inspections	<ul style="list-style-type: none"> • Workplace • Tools & Equipment
Preventative Maintenance	<ul style="list-style-type: none"> • Scheduling Program for all identified operational areas

Priority 2

Audit Item	Compliance Date
Health & Safety Policies	22-Sept-2014
Company Rules	22-Sept-2014
Investigations & Reporting	22-Sept-2014
Emergency Preparedness	22-Sept-2014
Records & Statistics	22-Sept-2014
Legislation	22-Sept-2014
Joint Occupational Health & Safety Committee	22-Sept-2014
Transportation of Dangerous Goods	22-Sept-2014
Subcontractor Management	22-Sept-2014
Disability Management & Return to Work Program	22-Sept-2014

Sharing our ideas in an open and supportive manner to achieve excellence.

Teamwork

Open Communication

Fostering an environment where information moves freely in a timely manner.

Honesty and Trust

Being sincere in everything we say and do.

Relentless commitment to protecting ourselves, our colleagues, and our community.

Safety

Respect and Dignity

Appreciating the individuality of others by our words and actions.

Leadership

Empowering individuals to help, guide and inspire others.

Holding ourselves responsible for our actions and performance.

Accountability



Evaluation of Contractor OH&S Program



Document Name: Contractor Occupational Health and Safety Program Evaluation
 Reference Program: Contractor Management

Evaluation of Contractor OH&S Program can be waived if Contractor possesses current Certificate of Recognition in province of project

Contractor Name: LABRADOR READY MIX Date Submitted: 28-Aug-2014

OH&S Program Name: _____ Program No: _____

Responsible Person: _____ Email: _____ Phone No: _____

Audit Submitted?: Yes No COR Certified Type of Audit: Internal External Review Date: 28-Aug-2014

Approximate no of workers 71 Approx no of work activities _____ Approx no of subcontractors 3

Ranking 1. Lead Contractor 2. Specialty Contractor 3. Subcontractor 4. Other

Section	Score Attained	Percentage	Expected Pass %	Pass/Fail
1. Health and Safety Policies	38 / 80	48%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
2. Hazard Assessment and Control	29 / 90	32%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
3. Safe Work Practices	0 / 90	0%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
4. Safe Job Procedures	16 / 80	20%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
5. Company Rules	10 / 50	20%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
6. Personal Protective Equipment	14 / 60	23%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
7. Preventative Maintenance	32 / 90	36%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
8. Training and Communications	43 / 150	29%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
9. Inspections	10 / 90	11%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
10. Investigations and Reporting	26 / 70	37%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
11. Emergency Preparedness	38 / 90	42%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
12. Records and Statistics	29 / 70	41%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
13. Legislation	14 / 40	35%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
14. Joint Occupational Health & Safety Committees	23 / 50	46%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
15. Workplace Hazardous Materials Information System	7 / 40	18%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
16. Transportation of Dangerous Goods	0 / 30	0%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
17. Subcontractor Management	33 / 100	33%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
18. Disability Management & Return to Work Program	5 / 80	6%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
Overall Scoring	367 / 1310	28%	70%	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail

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503011-0000-68-AG-0001	PA	June, 2011	n/a	2 - 4	1



Evaluation of Contractor OH&S Program



Document Name: Contractor Occupational Health and Safety Program Evaluation
 Reference Program: Contractor Management

Nothing [N] No information provided	Minimal [MIN] Identified but lacks sufficient details	Medium [MED] Incomplete details and/or not supported by training records	High [HIGH] Well detailed but not confirmed by training records or external audit	Superior [SU] Detailed and confirmed by training records and audit	Applies to:	Scoring					
						N 0	MIN 1 - 3	MED 4 - 6	HIGH 7 - 9	SU 10	Score
1. Health and Safety Policies											
1.	Does the employer have a written health and safety policy that is signed by senior management?				Yes			5			5
2.	Does the health and safety policy include management's commitment to provide a safe and health work environment?				Yes			5			5
3.	Does the health and safety policy recognize the rights of workers to work in a safe and healthy work environment?				Yes		2				2
4.	Is the health and safety policy current and dated?				Yes				8		8
5.	Is the health and safety policy reviewed annually by management?				Yes			6			6
6.	Does the health and safety policy address accountability and responsibility for health and safety for all workplace parties?				Yes			4			4
7.	Does the health and safety policy express a commitment to work in a spirit of consultation and cooperation with the workers?				Yes		1				1
8.	Is it prominently posted or mad available to workers?				Yes				7		7
9.											
10.											
Section Score							3	20	15		38/80

Notes, Comments, Recommended Corrective Actions <ul style="list-style-type: none"> No real accountability / responsibility system referenced The worker rights statement & management commitment to safety was not being expressed Very vague policy Management verification of Policy statement was poor 	Expected Pass Percentage:
	Percentage Obtained: 48%
	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
	Evaluated by: LCP, Astaldi HS
Reviewed by:	

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Evaluation of Contractor OH&S Program



Document Name:	Contractor Occupational Health and Safety Program Evaluation
Reference Program:	Contractor Management

Nothing [N] No information provided	Minimal [MIN] Identified but lacks sufficient details	Medium [MED] Incomplete details and/or not supported by training records	High [HIGH] Well detailed but not confirmed by training records or external audit	Superior [SU] Detailed and confirmed by training records and audit	Applies to:	Scoring					
						N 0	MIN 1 - 3	MED 4 - 6	HIGH 7 - 9	SU 10	Score

3. Safe Work Practices											
1.	Have applicable safe work practices been written?	Yes	0								
2.	Are the safe work practices comprehensive in detail and description?	Yes	0								
3.	Do the safe work practices accurately reflect the company's activities?	Yes	0								
4.	Have both management/supervisors and workers participated in the development and review of safe work practices?	Yes	0								
5.	Is there a formal process for evaluating safe work practices to determine effectiveness?	Yes	0								
6.	Is there a process to communicate changes in safe work practices to the workforce?	Yes	0								
7.	Do the Safe Work Practices accurately reflect the company's activities?	Yes	0								
8.	Are they understood by workers?	Yes	0								
9.	Are they readily available to workers?	Yes	0								
10.											
Section Score			0	0	0	0	0	0	0	0	0/90

Notes, Comments, Recommended Corrective Actions	Expected Pass Percentage:
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Evaluation of Contractor OH&S Program



Document Name:	Contractor Occupational Health and Safety Program Evaluation
Reference Program:	Contractor Management

- No SWP's produced for review

Percentage Obtained: 0%

Pass Fail

Evaluated by:

Reviewed by:

Nothing [N] No information provided	Minimal [MIN] Identified but lacks sufficient details	Medium [MED] Incomplete details and/or not supported by training records	High [HIGH] Well detailed but not confirmed by training records or external audit	Superior [SU] Detailed and confirmed by training records and audit	Applies to:	Scoring					
						N 0	MIN 1 - 3	MED 4 - 6	HIGH 7 - 9	SU 10	Score

4. Safe Job Procedures

#	Question	Yes	N	MIN	MED	HIGH	SU	Score
1.	Does the company have documented safe work procedures?	Yes			5			5
2.	Are the procedures comprehensive and descriptive of the step by step instructions required to perform the activity?	Yes			4			4
3.	Do the safe work procedures cover the work activities performed by the Contractor?	Yes			4			4
4.	Have both management/supervisors and workers participated in the development and review of these procedures?	Yes	0					0
5.	Are the safe work procedures reviewed periodically to validate they are appropriate to the activity?	Yes	0					0
6.	Is the process for safe work procedure review documented and reviewed by management?	Yes	0					0
7.	Do the safe work procedures identify when a "qualified person" is required to review and approve the safe work procedure?	Yes	0					0
8.	Have all critical safe work procedures been written?	Yes		3				3
9.								
10.								
Section Score			0	3	13	0	0	16/80

Notes, Comments, Recommended Corrective Actions

Expected Pass Percentage:

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Evaluation of Contractor OH&S Program



Document Name: Contractor Occupational Health and Safety Program Evaluation
 Reference Program: Contractor Management

- SJP's for LOTO, CSE, and Respiratory Protection but nothing specific to crushing and batch operations.
- No formal review process in place
- Workers not aware of existing SJP's
- No evidence of management development or approval; all procedures are generic

Percentage Obtained: 20%

Pass Fail

Evaluated by: LCP, Astaldi HS

Reviewed by:

Nothing [N] No information provided	Minimal [MIN] Identified but lacks sufficient details	Medium [MED] Incomplete details and/or not supported by training records	High [HIGH] Well detailed but not confirmed by training records or external audit	Superior [SU] Detailed and confirmed by training records and audit	Applies to:	Scoring					
						N	MIN	MED	HIGH	SU	Score
						0	1 - 3	4 - 6	7 - 9	10	

5. Company Rules

Q#	Question	Yes	N	MIN	MED	HIGH	SU	Score
1.	Are the company rules clearly stated in writing?	Yes	0					0
2.	Does the health and safety program address non-conformance and progressive disciplinary actions?	Yes			5			5
3.	Are the rules applied and enforced consistently with all employees?	Yes			5			5
4.	Do the rules identify health and safety requirements for visitors, consultants and inspectors?	Yes	0					0
5.	Are the company rules posted in conspicuous areas?	Yes	0					0
6.								
7.								
8.								
9.								
10.								
Section Score			0	0	10	0		10/50

Notes, Comments, Recommended Corrective Actions

Expected Pass Percentage:

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Evaluation of Contractor OH&S Program



Document Name: Contractor Occupational Health and Safety Program Evaluation
 Reference Program: Contractor Management

- Company Rules are non-existent, but there is evidence of written disciplinary action being applied

Percentage Obtained: 20%

Pass Fail

Evaluated by: LCP, Astaldi HS

Reviewed by:

Nothing [N] No information provided	Minimal [MIN] Identified but lacks sufficient details	Medium [MED] Incomplete details and/or not supported by training records	High [HIGH] Well detailed but not confirmed by training records or external audit	Superior [SU] Detailed and confirmed by training records and audit	Applies to:	Scoring				
						N 0	MIN 1 - 3	MED 4 - 6	HIGH 7 - 9	SU 10

6. Personal Protective Equipment

		Yes	N	MIN	MED	HIGH	SU	Score
1.	Is there a written policy for PPE?	Yes		2				2
2.	Are workers made aware of the requirements for PPE?	Yes		2				2
3.	Are there written procedures for the proper fitting, care, and use of specialized PPE?	Yes			4			4
4.	Are employees given instruction or training in the use of PPE as required?	Yes			6			6
5.	Is there criteria used to select PPE?	Yes	0					0
6.	Is there a system in place to regularly inspect and maintain basic and specialized PPE?	Yes	0					
7.								
8.								
9.								
10.								
Section Score			0	4	10			14/60

Notes, Comments, Recommended Corrective Actions

Expected Pass Percentage:

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Evaluation of Contractor OH&S Program



Document Name:	Contractor Occupational Health and Safety Program Evaluation
Reference Program:	Contractor Management

- Produced records for specific training sessions on the use of specialized PPE, but no evidence exists of ongoing training for any new employees.
- Supervisor stated in interview that employees were informed about PPE requirements immediately before entering the work area.
- They have adopted Astaldi's PPE program, but the most current Astaldi HS Manual was not on-site for review.
- There is no process in place to formalize the issuance of PPE

Percentage Obtained: 23%

Pass Fail

Evaluated by: LCP, Astaldi HS

Reviewed by:

Nothing [N] No information provided	Minimal [MIN] Identified but lacks sufficient details	Medium [MED] Incomplete details and/or not supported by training records	High [HIGH] Well detailed but not confirmed by training records or external audit	Superior [SU] Detailed and confirmed by training records and audit	Applies to:	Scoring				
						N 0	MIN 1 - 3	MED 4 - 6	HIGH 7 - 9	SU 10

7. Preventative Maintenance

#	Question	Yes	N	MIN	MED	HIGH	SU	Score
1.	Is there a preventative maintenance program for facilities, tools, equipment and vehicles?	Yes	0					0
2.	Is there an inventory of items to be maintained?	Yes			4			4
3.	Do maintenance protocols identify the requirement to meet regulatory and manufacturers' standards?	Yes	0					0
4.	Is there use of schedules and checklists?	Yes			6			6
5.	Is there a record for corrective actions taken?	Yes				7		7
6.	Is there a system in place to effectively remove defective tools, equipment, and vehicles from service?	Yes	0					0
7.	Does a qualified and competent person perform the inspection and maintenance?	Yes				7		7
8.	Is there a process for inspection and certification of equipment prior to arrival/use on site?	Yes		3				3
9.	Are copies of inspections/certifications maintained at the workplace?	Yes			5			5
10.								

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Evaluation of Contractor OH&S Program



Document Name: Contractor Occupational Health and Safety Program Evaluation
 Reference Program: Contractor Management

10.													
Section Score								0	0	18	8	0	26/70

Notes, Comments, Recommended Corrective Actions	Expected Pass Percentage:
<ul style="list-style-type: none"> No Incident files presented for review contained final, approved reports, but rather working drafts. Only bodily injuries were noted as reportable events in the manual No copies of closure of corrective actions available Only Safety involved in the Investigation process Workers stated that corrective actions were not being communicated as lessons learned 	Percentage Obtained: 37%
	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
	Evaluated by: LCP, Astaldi HS
	Reviewed by:

Nothing [N] No information provided	Minimal [MIN] Identified but lacks sufficient details	Medium [MED] Incomplete details and/or not supported by training records	High [HIGH] Well detailed but not confirmed by training records or external audit	Superior [SU] Detailed and confirmed by training records and audit	Applies to:	Scoring					
						N	MIN	MED	HIGH	SU	Score
						0	1 - 3	4 - 6	7 - 9	10	

11. Emergency Preparedness												
1.	Are there guidelines for the development of emergency response plans to make them appropriate to the work area?	Yes										
2.	Does the plan include a requirement for training in emergency procedures, roles and responsibilities?	Yes					2					2
3.	Does the plan include requirements for inspection/interaction with emergency response agencies?	Yes						5				5
4.	Is there a requirement to test the emergency response plan on an annual basis to verify its effectiveness?	Yes	0									0
5.	Are corrective actions implemented for any deficiencies in the emergency response plan?	No										N/A
6.	Are the emergency response plans required to be posted in the workplace?	Yes						5				5
7.	Does the employer use signage to indicate emergency muster locations?	Yes							8			8
8.	Does the employer use emergency response stations which contain air horns? Fire extinguishers and first aid supplies?	No										N/A
9.	Does the employer have a written fire response plan?	No										N/A
10.	Does the employer create and post fire safety plans?	No										N/A
11.	Is there a procedure to regularly inspect and maintain fire extinguishers?	Yes							9			9

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Evaluation of Contractor OH&S Program



Document Name: Contractor Occupational Health and Safety Program Evaluation
 Reference Program: Contractor Management

9.	Is the 5 year Recordable Incident Frequency Rate acceptable?	Yes		2				2
10.								
Section Score			0	6	6	17	0	29/70

Notes, Comments, Recommended Corrective Actions	Expected Pass Percentage:
<ul style="list-style-type: none"> No evidence of corporate communication regarding trends, and analysis of indicators 	Percentage Obtained: 41%
	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
	Evaluated by: LCP, Astaldi HS
	Reviewed by:

Nothing [N] No information provided	Minimal [MIN] Identified but lacks sufficient details	Medium [MED] Incomplete details and/or not supported by training records	High [HIGH] Well detailed but not confirmed by training records or external audit	Superior [SU] Detailed and confirmed by training records and audit	Applies to:	Scoring					
						N	MIN	MED	HIGH	SU	Score
						0	1 - 3	4 - 6	7 - 9	10	

13. Legislation

1.	Does the OH&S manual state the worker's rights and responsibilities and how to exercise them?	Yes		5				5
2.	Does the OH&S manual describe due diligence requirements?	Yes	0					0
3.	Does the OH&S manual describe how the employer will meet due diligence requirements?	Yes	0					0
4.	Is there a current version of Provincial OH&S Act & Regulations on site and available to workers?	Yes					9	9
Section Score			0	0	5	9	0	14/40

Notes, Comments, Recommended Corrective Actions	Expected Pass Percentage:
<ul style="list-style-type: none"> Employer responsibilities not mentioned in the Corporate Manual Manual references employee rights, but is not encompassing of employee responsibilities as legislated 	Percentage Obtained: 35%
	<input type="checkbox"/> Pass <input checked="" type="checkbox"/> Fail
	Evaluated by: LCP, Astaldi HS
	Reviewed by:

Nothing [N] No information provided	Minimal [MIN] Identified but lacks sufficient details	Medium [MED] Incomplete details and/or not supported by training	High [HIGH] Well detailed but not confirmed by training	Superior [SU] Detailed and confirmed by training records and	Applies to:	Scoring					
						N	MIN	MED	HIGH	SU	Score
						0	1 - 3	4 - 6	7 - 9	10	

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Evaluation of Contractor OH&S Program



Document Name: Contractor Occupational Health and Safety Program Evaluation
 Reference Program: Contractor Management

- No bound copy of MSDS sheets for review in common areas
- No policy reference to decanting, labelling, or disposal

Evaluated by: LCP, Astaldi HS

Reviewed by:

Nothing [N] No information provided	Minimal [MIN] Identified but lacks sufficient details	Medium [MED] Incomplete details and/or not supported by training records	High [HIGH] Well detailed but not confirmed by training records or external audit	Superior [SU] Detailed and confirmed by training records and audit	Applies to:	Scoring					
						N	MIN	MED	HIGH	SU	Score
						0	1 - 3	4 - 6	7 - 9	10	

16. Transportation of Dangerous Goods [TDG]

1.	Does the employer have a TDG program?	Yes	0							0
2.	Does the program address education and instruction requirements for the employees?	Yes	0							0
3.	Does the program address placarding of work vehicles to properly identify the transported products?	Yes	0							0
Section Score			0	0	0	0	0	0	0	0/30

Notes, Comments, Recommended Corrective Actions

- No evidence of TDG program exists

Expected Pass Percentage:

Percentage Obtained: 0%

Pass Fail

Evaluated by: LCP, Astaldi HS

Reviewed by:

Nothing [N] No information provided	Minimal [MIN] Identified but lacks sufficient details	Medium [MED] Incomplete details and/or not supported by training records	High [HIGH] Well detailed but not confirmed by training records or external audit	Superior [SU] Detailed and confirmed by training records and audit	Applies to:	Scoring					
						N	MIN	MED	HIGH	SU	Score
						0	1 - 3	4 - 6	7 - 9	10	

17. Subcontractor Management

N/A

1.	Does the Contractor have a policy statement covering subcontractors?	Yes	0							0
2.	Does the contractor have specific rules and requirements for subcontractors?	Yes	0							0
3.	Does the Contractor have a safety evaluation/qualification process for subcontractors?	Yes	0							0
4.	Does the Contractor seek/obtain copies of subcontractor OH&S programs which are then reviewed by Contractor?	Yes				5				5
5.	Does Contractor seek/obtain records of training for subcontractor workers and/or have a process to validate the proper training of subcontractor workers?	Yes						8		8

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