

From: [Richard Noble](#)
To: [David Steele](#)
Subject: RE: Comparison of LCP Compliance Checklist with the original data request.
Date: Friday, June 6, 2014 12:25:00 PM
Attachments: [Preliminary comparison v4.docx](#)

Word version attached.

Of the 25 items we requested:

- 2 items are reasonably explicitly included (we still need to check)
- 16 may be covered... but in most cases are not identifying the detailed content requested
- 7 appear to be not included

However, what Nalcor has declared that they do indeed have, suggests that they also have the balance of the information requested... just have not yet offered to provide it.

And yes...again... it is all required.

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From: Richard Noble
Sent: June-06-14 10:40 AM
To: David Steele
Subject: Comparison of LCP Compliance Checklist with the original data request.

Dear David,

Please find attached a preliminary draft comparison of the original request and the Nalcor LCP Compliance Checklist.

As we note in the document it has been constructed in preparation for meetings with Nalcor on 10/06/2014.

It must be stressed that it is **“preliminary”** and **“draft”** and has been developed to assist specifically for that 10/06/2014 meeting. We remain confident that much of the information does exist even if not explicitly called out in the LCP Compliance Checklist response.

The reader should also note that for thoroughness, efficiency, clarity and as part of helping set up an effective working relationship between Government of Newfoundland and Labrador and Nalcor, we will have to review the information to confirm content and format even where explicitly listed as compliant. This is a “normal course of business” activity for the set up for this type of oversight.

Looking forward to “sleeves rolled up” meetings on Tuesday and to working through this effectively with the Government and Nalcor

Best regards,

Richard

Preliminary comparison of requested data items per “Oversight Protocol for LCP –March 2014” versus “LCP Compliance Checklist-Monthly Oversight Report”

In preparation for meetings with Nalcor on 10/06/2014, the following tables are an initial attempt at reconciling the LCP Compliance Checklist response with the original recommended data request.

It must be stressed that it is **“preliminary”** and **“draft”** and has been constructed to assist in our preparations for that 10/06/2014 meeting. We remain confident that much of the information does exist even if not explicitly called out in the LCP Compliance Checklist response.

Please note: For thoroughness, efficiency, clarity and as part of helping set up an effective working relationship between Government of Newfoundland and Labrador and Nalcor, we will have to review the information to confirm content and format even where explicitly listed as compliant.

Oversight Protocol for LCP Item	Notes based on LCP Compliance Checklist
Cost Information	
a. Baseline Budget with Basis of Estimate (BOE)	<ul style="list-style-type: none"> • May be in “Asset based summary level cost reporting... Construction Report...” (Per response in item 2.) • Level of detail not explicitly stated... may also be missing BOE • Will need to review to confirm format and content
b. Cost report showing: Cost Performance Index (CPI), Budget (Baseline, Change Approved, Change Pending, In Period), Actuals (Incurred -In Period, Cumulative), Estimate To Complete (ETC), Estimate at Complete (EAC), Variance –	<ul style="list-style-type: none"> • May be in “Asset based summary level cost reporting... Construction Report...” (Per response in item 2.) • Level of detail and content not explicitly stated.

Period and Cumulative	<ul style="list-style-type: none"> • Will need to review to confirm format and content
c. Contingency draw log, report of planned vs. consumed contingency	<ul style="list-style-type: none"> • Not explicitly included.
d. Performance Graphs showing performance, trend and forecast	<ul style="list-style-type: none"> • Not explicitly included
e. Independent Estimate At Complete (IEAC) and To Complete CPI (TCPI)	<ul style="list-style-type: none"> • Not explicitly included
f. Contract level cost reporting as above but including: original commitments, approved changes, pending changes, revised commitments, invoiced to date, paid to date, percentage complete and CPI	<ul style="list-style-type: none"> • May be in “Asset based summary level cost reporting... Construction Report... (Per response in item 2.) • Will need to review to confirm format and content
g. Rolling cash flow (TBD Period) – planned versus actual	<ul style="list-style-type: none"> • Not explicitly included
h. Log for tracking funding draws	<ul style="list-style-type: none"> • Should be covered by “Cumulative funding draws...” (per response item 3) • Will need to review to confirm format and content
i. Analysis and management corrective actions for variance at the individual line item level	<ul style="list-style-type: none"> • May be in “Asset based summary level cost reporting... Construction Report...” (Per response in item 2.) • Level of detail and content not explicitly stated. • Will need to review to confirm format and content

Oversight Protocol for LCP Item	Notes based on LCP Compliance Checklist Item
Schedule Information	
a) Schedule Specification, Basis of Schedule, Assumptions and a Predecessor/Successor report	<ul style="list-style-type: none"> • May be included in “Integrated Project Schedule” (Per Item 4.) • Will need to review to confirm format and content

b) Updated Gantt Chart including with critical path, baseline, float, % complete, actual/forecast start, actual/forecast end date (full requirements in Appendices)	<ul style="list-style-type: none"> • May be included in “Integrated Project Schedule” (Per Item 4.) • Will need to review to confirm format and content • Gantt Charts not identified and are required
c) Schedule milestone report showing Baseline Finish, Actual/Forecast Finish, Schedule Performance Index, Variance	<ul style="list-style-type: none"> • May be included in “Integrated Project Schedule” (Per Item 5.) • Will need to review to confirm format and content
d) Performance Graph showing performance, trend and forecast	<ul style="list-style-type: none"> • May be included in “Integrated Project Schedule” (Per Item 5.) • Will need to review to confirm format and content
e) Top 10 Critical Items and Float	<ul style="list-style-type: none"> • May be included in “Integrated Project Schedule” (Per Item 4.) • Will need to review to confirm format and content
f) Analysis and management corrective action (at individual line item level)	<ul style="list-style-type: none"> • May be included in “Integrated Project Schedule” (Per Item 4.) • Will need to review to confirm format and content

Oversight Protocol for LCP Item	Notes based on LCP Compliance Checklist Item
Other Information	
a. Standard project monthly progress report (including Health & Safety, Environment, Community/Stakeholder, Scope & Change, Cost, Schedule, Quality, Risk and Contract reports)	<ul style="list-style-type: none"> • May be included in “Refer to ‘Lower Churchill Project Monthly Progress Report...’ (Per Item 1)
b. Resource profile and graphs/histograms (planned versus actual/forecast)	<ul style="list-style-type: none"> • May be included in “Refer to ‘Lower Churchill Project Monthly Progress Report...’ (Per Item 1) • Will need to review to confirm format and content
c. Project WBS structure and dictionary	<ul style="list-style-type: none"> • May be covered by “Project Work Breakdown Structure...” (Per Item 6)

	<ul style="list-style-type: none"> • Will need to review to confirm format and content
d. Project policies and procedures (Project Management Plan, Project Execution Plan, other processes)	<ul style="list-style-type: none"> • May be covered by the list of P&P's (Per Item 7) • Will need to review to confirm format and content
e. Project execution and contracting strategy	<ul style="list-style-type: none"> • Not explicitly included
f. Project risk register	<ul style="list-style-type: none"> • Not explicitly included
g. Change order log	<ul style="list-style-type: none"> • Not explicitly included
h. Claims log	<ul style="list-style-type: none"> • Not explicitly included
i. Liens register	<ul style="list-style-type: none"> • May be included in "A lien search..." (per item 8) • Will need to review to confirm format and content
j. Project assurance plan(s) – including internal audit and third party assurance	<ul style="list-style-type: none"> • May be covered by "LCP Assurance framework..." (per Item 9) • Current presentations provided do not show actual plans for assurance (e.g. on assurance of project management and control processes & systems)